# A Fusion Approach for Water Area Classification Using Visible, Near Infrared and Synthetic Aperture Radar for South Asian Conditions

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Abstract-Consistent estimation of water surface area from 1 remote sensing remains challenging in regions such as South 2 Asia with vegetation, mountainous topography, and persistent 3 monsoonal cloud cover. High-resolution optical imagery, which 4 is often used for global inundation mapping, is highly impacted 5 by clouds, while synthetic aperture radar (SAR) imagery is not 6 impacted by clouds and is affected by both topographic layover and vegetation. Here, we compare and contrast inundation extent 8 measurements from visible (Landsat-8 and Sentinel-2) and SAR 9 (Sentinel-1) imagery. Each data type (wavelength) has comple-10 mentary strengths and weaknesses which were gauged separately 11 12 over selected water bodies in Bangladesh. High-resolution cloudfree PlanetScope imagery at 3-m resolution was used as a 13 reference to check the accuracy of each technique and data type. 14 Next, the optical and radar images were fused for a rule-based 15 water area classification algorithm to derive the optimal decision 16 for the water mask. Results indicate that the fusion approach 17 can improve the overall accuracy by up to 3.8%, 18.2%, and 18 8.3% during the wet season over using the individual products 19 of Landsat8, Sentinel-1, and Sentinel-2, respectively, at three 20 sites, while providing increased observational frequency. The 21 fusion-derived products resulted in overall accuracy ranging from 22 85.8% to 98.7% and Kappa coefficient varying from 0.61 to 23 0.83. The proposed SAR-visible fusion technique has potential for 24 improving satellite-based surface water monitoring and storage 25 changes, especially for smaller water bodies in humid tropical 26 climate of South Asia. 27

Index Terms—Area classification, remote sensing, synthetic 28 aperture radar (SAR), visible imagery, water bodies. 29

# I. INTRODUCTION

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ETLANDS and small surface water bodies play an important role in groundwater recharge, flood control, ecosystem services, wildlife habitat, and even rural liveli-33 hood [1], [2]. Knowledge of the areal extent or size of water bodies is crucial to the understanding of access and 35

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availability of water in the natural environment. However, manual identification and tracking of these numerous water bodies in a feasible and cost-effective way is challenging due to their dynamic inundation extent and depth controlled by the local hydrology of the region [3].

To address the challenges of manual identification, satellite remote sensing can be a valuable tool for automated extraction of water surface area. Satellites are particularly effective where in situ measurement networks for water surface elevation (which can be used to derive surface area in concert with a digital elevation model) are limited. In the past two decades, the use of optical and synthetic aperture radar (SAR) satellite remote sensing data has expanded for mapping and monitoring wetlands [1]. The usage of satellite imagery at optical wavelengths for water body delineation has been primarily derived from band ratios and indices 51 that use the differences in spectral signature of water and surrounding features [18], [35]. The Landsat satellite products have, therefore, been extensively explored for monitoring lake dynamics [13]-[15], [19], [36], [37]. A detailed review of the literature on monitoring of surface water using optical sensors is presented in [8]. Although optical data have proven itself for areal classification of water bodies [3], the presence of vegetation and cloud cover in the scene can seriously limit scientific applications [8]. While the former obscures the inundation underneath the vegetation, blocking and shadow effects by clouds can reduce the image information and seriously impact the mapped water extent.

SAR data, on the other hand, collected by active sensors 64 at longer wavelengths, are able to penetrate the clouds and 65 vegetation to varying degrees, working both diurnally and 66 nocturnally. Water, which has a high dielectric constant and is 67 a specular reflector at the wavelengths of most SAR sensors, 68 often produces very low backscatter, which aids in extracting 69 the water bodies from sensed radar data [16]. Shen et al. [20] 70 reviewed the existing literature for principles and methods in 71 the SAR-based inundation mapping. Despite the advantages 72 of active SAR data in mapping water extent, the side-looking 73 geometry and the requirement of specular reflection may lead 74 to misclassification of some water surface areas as radar 75 shadow due to waves, uneven surface, vegetation (commission 76 error), and layover or topography (omission error) [3], [16]. 77 Extracting inundation extent using only one type of data 78 (visible or SAR), therefore, provides limited value when the 79 region has persistent clouds or mountainous topography and 80

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vegetation around the water body. Such conditions are notably 81 pronounced in tropical humid climates of South Asia, such as 82 Bangladesh. 83

Mapping surface water bodies in South Asian environment presents a unique challenge. The tropical monsoon climate 85 with strong seasonal cycle leads to a highly dynamic response 86 of lakes and wetlands. The lake inundation expands swiftly 87 over the peak flow season from May to October and then dries 88 up as the monsoon recedes. The surface of the lakes usually 89 hosts an abundance of dense vegetation, often in the form of 90 thick free-floating plants that obstruct the inundation beneath 91 from being accurately mapped. Given the high number of such 92 small-scale bodies present in the region [see Fig. 1(d)], their 93 monitoring is both challenging and important for the effective 94 management of water and ecosystems. 95

In an effort to develop a more robust water extraction 96 technique that is tailored to overcome challenges in humid 97 tropical climates of South Asia, we aim to fuse complemen-98 tary strengths of remote sensing data types. Various studies 99 have targeted fusion of multiple sensor products for vari-100 ous goals, such as shoreline extraction [4], change detec-101 tion [5], retrieving daily normalized difference vegetation 102 index (NDVI) and leaf area index (LAI) [6], and temporal 103 aggregation for land cover mapping [7]. Studies by Kaplan 104 and Avdan [1], Huang et al. [8], and Irwin et al. [12] have 105 monitored wetlands and surface water by different fusion 106 techniques. Huang et al. [21] presented an automated classifi-107 cation of SAR data trained using prior surface water masks 108 derived from Shuttle Radar Topography Mission (SRTM) 109 water body data set (SWBD), and Landsat 8 derived compos-110 ited dynamic surface water extent (DSWE) class probabilities 111 and tested it on North American sites representing inland and 112 coastal wet landscape. Slinski et al. [27] used passive Landsat 113 and active SAR data in a clustering analysis to generate water 114 masks in the drier climates of Ethiopia. Despite a large body 115 of the literature on the fusion of remote sensing products, 116 no study, to the best of author knowledge, has explored water 117 bodies or wetlands of South Asia impacted by both monsoonal 118 cloud cover and dense vegetation and has smaller extents. 119 This article assesses a fusion technique to address water area 120 classification in regions, where cloud cover and vegetation are 121 122 major challenges in remote sensing-based monitoring of water bodies. Unlike other published methods, the computational and 123 data storage constraints were addressed in our approach by 124 using the cloud-based computing platform of Google Earth 125 Engine (GEE) [9] and a computationally efficient rule-based 126 classification approach. 127

### II. STUDY AREAS AND DATA SOURCES

A. Test Sites 129

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The accuracy and robustness of the proposed approach were 130 tested on three lakes/wetlands (also locally termed "Haors") 131 with varying water extents located in northeastern Bangladesh 132 (Fig. 1). Haors are seasonal water bodies with dual-land use 133 during the course of a year [28]. From the months of May to 134 October, the low-elevation land is inundated with transbound-135 ary runoff generated by the monsoon rains from mountains in 136 neighboring India. These water bodies become a productive 137

fisheries ecosystem during the monsoon season [28]. As the 138 waters recede in the postmonsoon season spanning Novem-139 ber to April, the soil becomes rich in nutrients and organic 140 matter. The Haor land becomes primed for rice cultivation 141 from groundwater that is recharged by the preceding monsoon 142 rains. The rice cultivation during this season (known as Boro 143 rice) is existential to food security of Bangladesh [29], [30]. 144 Hence, accurate and automated mapping of the spatial extent 145 of Haors in the context of changing land use can inform 146 policy decisions for managing postmonsoon water availability, 147 premonsoon flash floods, and rice cultivation. 148

The "true" boundaries of all the test water bodies, encompassing the wet season extent, were digitized manually from 150 reference data that are described in Section II-B. The maxi-151 mum extents used for the water extraction analysis were 65.6, 7, and 1.3 km<sup>2</sup> for Korchar, Dekhar, and Ashulia Haors. The 153 locations and digitized water boundaries of each site are shown in Fig. 1(a)–(c).

# B. Tools and Data Used

We used three satellite remote sensing products with dif-157 ferent spatial, temporal, and spectral characteristics. These 158 include: 1) Landsat 8 Operational Land Imager (OLI) Tier 1 159 surface reflectance and top of atmosphere (TOA) reflectance, 160 with 30-m spatial resolution and 8-16-day revisit period (here-161 after "L8"); 2) Sentinel-1A C-band synthetic aperture radar 162 ground-range detected (SAR GRD) with a spatial resolution 163 of 10 m and 6-day revisit period (labeled as "S1"); and 164 3) Sentinel-2 multispectral instrument (MSI, Level-1C) with a 165 spatial resolution of 10 m (for red, green, blue (RGB) and 166 near-infrared (NIR) bands) and revisit period of five days 167 (labeled as "S2"). These visible, NIR, and SAR sensors were 168 chosen due to the public availability of their data and their 169 complementary strengths in water detection. The three satellite 170 products were retrieved for a three-year time period spanning 171 2016 to 2018. The number of scenes used for each product 172 over this period of analysis is summarized in Table I, where 173 multiple scenes were used within a day for some sites to cover 174 the entire water boundaries (see Fig. 1) to be classified. 175

Each of the products has at least one strength that the 176 fusion technique relies upon, namely, the difference in spectral 177 signatures of water and its surroundings in the optical wave-178 lengths and the ability of radar to penetrate cloud and certain 179 vegetation coverage. The JavaScript API of GEE platform [9] 180 was used for the processing of these remote sensing products, 181 all of which are available in the GEE data catalog. GEE 182 provides access to satellite data sets on a planetary scale and 183 provides extensive computing power for image processing and 184 analysis without the need for high-end processing capability 185 locally. Details on the preprocessing and water extraction 186 algorithm applied to each product are presented in Section III. 187

### C. Reference Data

For the accuracy assessment of the delineated water extent, 189 we used higher resolution imagery in the visible and NIR 190 bands. Planet (formally known as Planet Labs) [10], with a 191 constellation of more than 170 active CubeSats, has realized 192

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#### TABLE I

NUMBER OF SCENES USED FOR EACH PRODUCT OVER 2016–2018. Multiple Scenes Were Used per Day to Cover the Study Area

Test Site	Number of Scenes						
Test Sile	L8	S1	S2				
Korchar Haor	104	271	128				
Dekhar Haor	79	229	128				
Ashulia Haor	107	141	258				



Fig. 1. (a)–(c) Locations and digitized boundaries for the three surface water bodies used in this study. (d) Surface water bodies (lakes and wetlands) over Bangladesh shown in blue.

daily global imaging in the visible and NIR at 3-m resolu-193 tion. Recent studies have demonstrated the capabilities and 194 usefulness of Planet data in easily extracting the water extent, 195 such as those by Cooley et al. [22], [23]. Thus, the Level 3A 196 PlanetScope Ortho Tile Product from Planet Labs with the 197 198 orthorectified pixel size of 3.125 m and daily revisit time at nadir was acquired using the Planet Explorer imagery explo-199 ration tool [17] to obtain the reference water map, as explained 200 later in Section III. 201

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#### III. METHODOLOGY

An overview of the water area classification approach used 203 in this study is shown in Fig. 2. The methodology begins first 204 with processing the visible, NIR, and SAR reflectance data 205 over the selected sites using two different water extraction 206 algorithms as described in Sections III-A-III-C. The satellite 207 data were acquired for the dates closest to the date of interest 208 (DoI). The DoI is the user-defined day for which the water 209 extent needs to be obtained. The output water extents from 210 each satellite product were later fused together based on rules 211 specific to each image type to derive the fused water extent. 212 Accuracy assessment of fused water extent was then performed 213 using high-resolution images. 214

# 215 A. Landsat-8-Based Water Extraction

The DSWE offered by U.S. Geological Survey (USGS) [11] for the L8 OLI product was incorporated here for water extraction. The algorithm was coded in the GEE platform using JavaScript API to produce the DSWE output over any custom region of interest. The specifics of the algorithm are briefly described next. For details on DSWE algorithm, the reader is referred to [11].

The purpose of the DSWE algorithm is to account for veg-223 etation over surface water bodies in the delineation procedure. 224 It involves multiple levels of processing using geophysical 225 information including a digital elevation model, slope, and hill-226 shade, as well as quality flags encoding data on cloud, cloud 227 shadow, and snow within each L8 scene. These are calculated 228 based on the function of mask (FMask) algorithm [26]. The 229 model used to generate DSWE is composed of five decision-230 rule-based diagnostic tests applied uniformly to all the pixels 231 without requiring scene-based training. Three of the diagnostic 232 tests are designed to detect if the pixel under consideration 233 is fully covered by water (open water tests), while the other 234 two tests detect inundation in the presence of vegetation or 235 other nonwater land covers at the subpixel scale (partial water 236 tests). Using the RGB, NIR, and shortwave IR bands 1 and 237 2 (SWIR1/2) from L8 surface reflectance product, the fol-238 lowing indices are calculated: 1) modified normalized differ-239 ence wetness index (MNDWI) = (green - SWIR1)/(green +240 SWIR1); 2) multiband spectral relationship visible (MBSRV) 24 = green + red; 3) multiband spectral relationship near-infrared 242 (MBSRN) = NIR + SWIR1; 4) automated water extent 243 shadow (AWESH) = blue +  $(2.5 \times \text{green}) - (1.5 \times \text{MBSRN})$ 244  $-(0.25 \times \text{SWIR2})$ ; and 5) NDVI = (NIR - red)/(NIR + red). 245 The open and partial water diagnostic tests are then performed 246 for each pixel based on multiple thresholds applied to the 247 spectral bands and the five calculated indices to produce a 248 preliminary DSWE output. This study used default values for 249 each threshold as specified in [11]. 250

The next step is to refine the DSWE output by filtering 251 out low-confidence water pixels using geophysical parameters 252 including topography, slope, and hillshade for each pixel. 253 Percent slope is used to remove the locations where the terrain 254 is too steep to hold water. Similarly, any location where the 255 terrain is too shaded is also filtered out. Next, the quality 256 assessment (QA) bands obtained from the L8 TOA reflectance 257 product are used to mask the cloud, cloud shadow, and snow, 258 resulting in the final delineated DSWE output. The output 259 band results into six possible values: 0 (not water), 1 (water— 260 high confidence), 2 (water-moderate confidence), 3 (potential 261 wetland/ partial surface water conservative), 4 (low-confidence 262 water/partial surface water aggressive), and 5 (masked out due 263 to cloud, cloud shadow, or snow) [11]. Different confidence 264 levels of inundation as well as the differentiation between 265 no water and cloud/snow masked pixels were used later as 266 one of the guiding factors in the fusion scheme described in 267 Section III-D. 268

# B. Sentinel-1-Based Water Extraction

The SAR imaging sensors of S1 send radar signals from the satellite toward the Earth at an off-nadir angle, and the backscatter off the Earth's surface is measured. The amount of backscatter is determined in part by the roughness of the surface, with smoother surfaces scattering less. Large flat surfaces like water scatter very little at C-, X-, and L-band

wavelengths most commonly used in SAR imaging, and they
stand out as dark spots against relatively high-scattering land
surface. This property is used to extract the surface water
extent using a threshold on the backscatter value.

The S1 data were first preprocessed to filter the type 280 of signal received by the sensor. The S1 GRD images in 281 GEE catalog are detected, multilooked, processed to remove 282 thermal noise, radiometrically calibrated, orthorectified, and 283 geo-referenced SAR data. The co-polarized scenes with the 284 vertical (VV) transmitter-receiver polarization (vertical trans-285 mitted and vertical received) were selected to ensure the 286 images have same transmit/receive polarizations. One of the 287 issues that exists with the radar product is the degradation of 288 its quality with the signal dependent granular noise, also called 289 "speckle." The speckle is primarily caused by the phenomenon 290 of interference of the returning wave at the transducer aperture. 291 A focal median filter with 30 m  $\times$  30 m window was applied 292 to smoothen the image and, thus, reduce down the speckle 293 noise. The incidence angle of the SAR images also plays 294 an important role in the quality of the resulting classified 295 product. At lower look angles, the surface spatial resolution 296 in range decreases significantly (becomes coarser), while at 297 higher angles, the signal-to-noise ratio is quite small for low-298 reflectivity targets such as wetlands [38]. Hence, the incidence 299 angles ( $\theta$ ) were limited to the range 31.7° <  $\theta$  < 45.4°. 300 With the processed S1 image, a gray-level thresholding algo-301 rithm was applied for delineation. Considering the dynamic 302 range of backscatter values for standing water of -24.3 to 303 -12.6 dB as found by Liu [31], a threshold value of -13 dB304 was selected for classifying pixels less than the threshold as 305 water. 306

## 307 C. Sentinel-2-Based Water Extraction

The third satellite product used for inundation area estima-308 tion is the multispectral S2 data set. The 10-m resolution (for 309 RGB and NIR reflectance bands) adds value in terms of spatial 310 granularity to the water extraction relative to the previously 311 chosen 30-m L8 multispectral data set. The DSWE algorithm 312 was also applied over the S2 bands to obtain the classified 313 water map. However, as the algorithm in its current state and 314 its thresholds are designed specifically for Landsat satellites, 315 modifications are needed to apply the same thresholds for 316 S2 due to differences in sensor characteristics and spectral 317 bands [32]. Because the algorithm is yet to be modified 318 by the official algorithm developer for a reliable application 319 with S2, an approach that transforms the surface reflectance 320 of S2 bands to that of L8 bands was incorporated so that the 321 same thresholds for L8 can be used. The surface reflectance 322 transformation functions for the approximately equivalent 323 spectral bands of L8 and S2 were given by Zhang et al. [32] 324 whose study region was located in southern Africa with 325 different land cover classes, representative of a wide range of 326 reflectance spectra and covering multiple seasons. The linear 327 mapping functions from S2 to L8 for the bands used by 328 the DSWE algorithm are tabulated in Table II. Furthermore, 329 the QA60 bitmask band (at 60-m resolution) provided in 330 S2 was used to obtain the cirrus and opaque cloud mask 331 information. 332

TABLE II

TRANSFORMATION FUNCTIONS BETWEEN APPROXIMATELY EQUIVALENT BANDS OF L8 AND S2 FOR APPLYING L8-BASED DSWE THRESHOLDS TO S2 (AFTER ZHANG *et al.* [32])

Spectral band	Transformation function			
Blue (~0.48 μm)	L8 = 0.0003 + 0.9570 * S2			
Green (~0.56 μm)	L8 = 0.0015 + 1.0304 * S2			
Red (~0.66 µm)	L8 = 0.0041 + 0.9533 * S2			
Near Infrared, NIR (~0.66 µm)	L8 = 0.0139 + 1.0157 * S2			
Shortwave Infrared, SWIR 1 (~1.61 $\mu$ m)	L8 = 0.0034 + 0.9522 * S2			
Shortwave Infrared, SWIR 2 (~2.21 µm)	L8 = 0.0004 + 0.9711 * S2			



Fig. 2. Overview of the methodology for applying the fusion algorithm using three different satellite image products—L8, S1, and S2.

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# D. Proposed Fusion Approach

After each satellite image was independently processed to delineate the surface water extent, a fusion algorithm was created to take the advantage of the complementary strengths of the optical and radar data products. The fusion algorithm was applied on a per-pixel-basis, i.e., each pixel was evaluated for the optimal decision for water extraction. The algorithm (see Fig. 2) is described in detail below. 340

First, all three water extracted data sets were brought to the 341 finest available resolution of 10 m to allow for a consistent 342 comparison using GEE's inbuilt reduceRegion function, with 343 a scale argument set to 10 m across all data sets. Next, 344 the dates of acquisition for each of the individual data sets 345 were compared with the DoI for which the water extent was 346 required. As the optical and radar images often cannot be 347 acquired contemporaneously [8], any product falling outside 348 the 30-day period from DoI was discarded from the fusion 349 scheme. Although noteworthy changes to water extent can 350 occur within the 30-day period, the period was selected based 35 on the minimum gap for S1 of nearly 30 days between two 352 consecutive acquisitions over the three-year period of analysis. 353 A monthly timestep was also used by Slinski et al. [27] in 354 obtaining the time series of surface water extent. Composite 355 median of all the S1 images over the 30-day interval was 356 obtained by calculating the focal median value at each pixel. 357 Despite higher observational frequency, L8 and S2 exhibited 358 larger gaps in available imagery due to high cloud cover, 359 especially in monsoon seasons. This combination of imagery 360 generates the following four scenarios: 1) all three data sets are 361 available in the one-month interval; 2) only L8 and S1 avail-362 able; 3) only S2 and S1 available; and 4) only S1 available. 363 These cases require different fusion rules to be applied for 364 the water extraction. The fourth scenario where only S1 is 365

#### TABLE III

FUSION ALGORITHM WITH DECISION RULES TO OBTAIN THE OPTIMAL WATER MASK FOR EACH PIXEL. THE THREE SCENARIOS ARE TABULATED IN

 (A)-(C), WHILE THE FOURTH (WITH ONLY S1 AVAILABLE) ASSUMES THE SAME OUTPUT AS S1. W: WATER; NW: NO WATER PRESENT; LNW:
 LOW CONFIDENCE OR NO WATER PRESENT (DSWE OUTPUT OF 0 OR 4); HLW: HIGH/MODERATE/LOW CONFIDENCE WATER (DSWE
 OUTPUT OF 1-4); HW: HIGH CONFIDENCE WATER (DSWE OUTPUT OF 1); HMW: HIGH/MODERATE/LOW CONFIDENCE WATER (DSWE
 OUTPUT OF 1-2); CLOUD: CLOUD COVERED PIXEL (DSWE OUTPUT OF 5); AND "-": THE OUTPUT IS INDEPENDENT OF
 THE PIXEL'S STATE FOR THAT PRODUCT. (A) SCENARIO 1: ALL SATELLITE PRODUCTS (S1, L8, AND S2) AVAILABLE.
 (B) SCENARIO 2: ONLY S1 AND L8 AVAILABLE. (C) SCENARIO 3: ONLY S1 AND S2 AVAILABLE

							(4	A)						
		Decision rule for the state of classified pixel												
Product	1	2		3		4		5	6		7	8		All Other
S1	W	W		-				-	W		W	W		
L8 S2 <b>Decision</b>	HLW - <b>W</b>	– HL W	W	HMV HMV W	W W	HW - <b>W</b>		HW W	Clou - W	d	– Cloud W	LI LI N	NW NW W	NW
Rationale	High confidence	Hig con	h fidence	High conf	i idence	High confide	nce	High confidence	L8 Clou	d	S2 Cloud	S/ Sp	AR beckle	Low/No confidence
					-		(]	B)						
					L	Decision ri	ile for	the state of cla	issified	pixel				
Product	1 2			3			4		5		All Other			
<i>S1</i>	W		W	I	NW		NW	I	7	W				
L8	HLW		Cloud	1	HW		LN	W, Cloud		NW	7			
Decision	w w			W		NW		NW			NW			
Rationale	High confidence L8 Clou		ıd l	High confidence Lo		Lov	w confidence		SAR Speckle			Low/No confidence		
				(0	C) SCENA	rio 3: On	LY S1	AND S2 AVAIL	ABLE					
Duoduot		Decision rule for the state of classified pixel												
Froduci	1		2		Ĵ	R		4			5		Ŀ	111 Other
S1	W		W	1	NW		NW	7		W				
S2	HLW		Cloud	]	HW		LN	W, Cloud		NW	7			
Decision	W		W	1	W		NW	7		NW	/		NW	
Rationale	High confide	ence	S2 Clou	ud 1	High con	fidence	Lov	v Confidence		SA.	R Speckle		Low/No	confidence

available assumes the same output as the S1-based water
 extent. The decision rules implemented in the fusion scheme
 are summarized in Table III.

The rules presented in Table III were selected to compensate 369 for the limitations of each product with the complementary 370 strength of other products. For instance, the speckle noise in 371 SAR (that persists even after applying the focal median filter) 372 is reduced using the L8 and S2 results from the nearest day of 373 acquisition. Similarly, on days when L8 or S2 experiences high 374 cloud cover, the cloud-free S1 imagery was capitalized on in 375 the fusion scheme to produce the most optimal estimate of the 376 water mask over the selected water body. Different confidence 377 levels from DSWE output were used to infer the cases of high 378 confidence in classifying the output pixel state as water. For instance, when the L8 and S2 classify a pixel as water with 380 either high or moderate confidence, there is a high confidence 381 in the output pixel being water, irrespective of S1, and hence 382 is classified as water [see decision rule 3 in Table III(a)]. 383 Such a rule-based classification is computationally efficient 384 and requires little or no training data for calibration. 385

# 386 E. Assessment of the Proposed Fusion Technique

To assess the accuracy of delineated water area using the individual satellite products and the fusion approach, 3-m resolution PlanetScope image was used to classify water extent. Due to the absence of *in situ* data, the classified PlanetScope

map was used as reference [22], [33], [34]. It needs to be 39 mentioned here that the use of Planet imagery for assessment 392 has weaknesses of its own such as the product's optical nature 393 which can lead to biases similar to other optical sensors 304 used here regarding vegetation and cloud cover. To minimize 395 some of these biases, care was taken while acquiring the 396 PlanetScope scenes to ensure they were completely cloud-free 397 and as closely matched in time as possible to the available 398 L8, S1, and S2 scenes over each water body. Images for 399 three different seasons (wet, dry, and intermediate) were 400 downloaded and processed separately for the comparison. 401 Supervised classification was performed on each of them using 402 the maximum likelihood classification. The accuracy of fusion-403 based output was quantified in terms of the confusion matrix 404 and user's/producer's accuracy values for specific days in 405 different seasons. A time series of surface water extent was 406 also derived from the individual water extraction procedures 407 and the fusion approach to assess the temporal consistency. 408 In addition, spatial maps were visually compared to evaluate 409 spatial consistency. 410

#### **IV. CASE STUDY RESULTS**

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# A. Temporal Consistency: Time Series of Water Inundated Area

The areas derived from the extraction algorithms of L8, S2, 414 S1, and the fusion technique over 2016–2018 are shown in 415 Fig. 3 for the three selected sites. The cyclical pattern of water 416

te	Approach	VV 2	Droducor	Inon-	Producer	Overall	Kappa coefficient				
ŝ		Accuracy (%)									
	Oct 2018 (wet)										
	L8	93.0	82.1	60.6	81.7	82.0	0.57				
	S1	90.3	64.7	43.1	79.4	68.4	0.35				
	S2	92.6	85.4	64.7	79.7	83.9	0.60				
aor	FUSION	91.2	90.0	71.6	73.4	85.8	0.63				
	Dec 2018 (intermediate)										
гH	L8	86.3	82.1	83.4	87.4	84.8	0.70				
ha	S1	87.1	65.8	73.2	90.5	78.4	0.57				
orc	S2	82.7	79.7	80.9	83.8	81.8	0.64				
Ň	FUSION	86.7	85.0	85.9	86.6	85.8	0.72				
	Mar 2018 (drv)										
	L8	79.6	55.7	98.4	99.5	97.9	0.64				
	S1	73.8	62.0	67.2	78.0	70.0	0.40				
	S2	77.6	64.3	98.7	99.3	98.1	0.69				
	FUSION	84.0	58.6	98.6	98.8	98.2	0.69				
	Oct 2016 (wet)										
	L8 (cloud affected)	91.1	19.0	24.5	93.4	35.4	0.06				
<u>ب</u>	S1	99.9	69.4	47.7	99.7	76.0	0.50				
lao	S2	92.9	97.9	90.7	73.2	92.5	0.76				
гH	FUSION	96.2	96.5	87.3	86.3	94.2	0.83				
cha	Feb 2017 (dry)										
Dek	L8	63.2	54.5	99.0	99.3	98.3	0.58				
Π	S1	100	36.4	98.6	100	98.6	0.53				
	S2	67.3	75.0	99.4	99.1	98.6	0.70				
	FUSION	69.6	72.7	99.4	97.1	98.7	0.70				
	May 2018 (wet)										
	L8	75.2	65.1	89.1	93.0	86.0	0.61				
Ŀ	S1	89.5	10.4	77.5	99.6	77.8	0.14				
Iao	S2	60.8	25.3	79.6	94.7	77.7	0.25				
aF	FUSION	70.4	71.0	90.6	90.3	86.0	0.61				
ilui	Mar 2018 (dry)										
Ash	L8	88.3	63.1	98.4	99.6	98.1	0.73				
1	S1	100	19.0	96.6	100	96.6	0.31				
	S2	84.1	88.1	99.4	99.2	98.7	0.85				
	FUSION	86.1	81.0	99.2	99.4	98.7	0.83				

 TABLE IV

 ACCURACY ASSESSMENT FOR THE THREE SITES OVER DIFFERENT SEASONS

TABLE V Comparison of Surface Water Area Derived From the Three Techniques

	Area (km <sup>2</sup> )						
Approach	Korchar (Oct	Dekhar (Oct	Ashulia (Sep				
	2018)	2016)	2018)				
APWC	26.88	1.02	0.58				
Fusion	52.70	3.09	0.84				
PlanetScope	54.39	3.17	0.77				

area due to monsoonal hydrology is clearly apparent at all thethree sites.

419 It is apparent from Fig. 3 that L8 and S2 suffered from high-cloud cover issues especially during the wet seasons that 420 leads to lower area estimates. In addition, while S1 tends to 421 produce lower estimates of inundation extent, L8 and S2 result 422 in similar results during cloud-free days. The fused technique 423 is able to reproduce a temporally consistent estimate of water 424 areas, filling up the gaps left by the optical images during 425 high-cloud cover in monsoon-dominated months. Some of the 426 sudden changes in fusion-derived time series persist due to 427 the unavailability of one or both the optical data sets (due to 428 high-cloud cover). 429

# B. Spatial Consistency: Maps of Delineated Water Extent

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The spatial consistency of the resulting inundation extent is 431 first assessed by visually comparing the classified water maps 432 produced by different sensors against that obtained from the 433 reference PlanetScope imagery. The delineated water maps are 434 shown for different seasons (wet, dry, and intermediate) for all 435 the three sites in Fig. 4, along with the respective surface water 436 area. It can be observed that the fusion-based water extent 437 is spatially consistent with the PlanetScope's reference map. 438 Also, the area values from PlanetScope and fusion-derived 439 water mask are closest, as compared to those from individual 440 products. 441

# C. Accuracy Assessment

Accuracy was assessed for each remote sensing data type 443 and technique against the reference data set. For estimation 444 of the classification accuracy, 2000 points were selected using 445 stratified random strategy. The points were randomly distrib-446 uted within the two classes of water and no water, where 447 each class has a number of points proportional to its relative 448 area. Among four different sampling techniques, the stratified 449 random sampling method resulted in the highest classification 450 accuracy in a study by Ramezan et al. [24], and it was also 451



Fig. 3. Time series of extract water surface areas over 2016–2018 from L8, S1, S2, and fusion approach are compared for three selected sites. (a) Korchar Haor. (b) Dekhar Haor. (c) Ashulia.

used by Slinski et al. [27]. The confusion matrix and detailed 452 453 accuracy assessment with user and producer accuracies are shown in Table IV for each of the three sites. The accuracy is 454 reported for both the water and nonwater class detection. The 455 overall accuracy and Kappa coefficient that accounts for the 456 possibility of agreement occurring by chance are also obtained. 457 The highest overall accuracies were obtained during the 458 dry seasons for all the three sites, with the overall accuracy 459 between 85.8% and 98.7% and Kappa coefficients ranging 460 from 0.61 to 0.83. During the wet season, the fusion approach 461 resulted in improvements in overall accuracy of up to 3.8%, 462 18.2%, and 8.3% over using the individual products of L8, 463 S1, and S2, respectively, across the three sites, while not 464 considering the cloud-affected L8/S2 images. For the con-465 sidered dry/intermediate seasons, the improvements reaching 466 up to 1%, 28.2%, and 4% were obtained over L8, S1, and 467 S2, respectively. The underestimation of water area using 468 S1 is apparent, with lower producer and user accuracies for 469

the water and nonwater classes, respectively. The effect of 470 S1 speckle can be seen for Korchar Haor during the dry season 471 (March 2018) with very low user accuracy of the water and 472 nonwater classes (pixel on classified map not corresponding 473 to the same on ground). The highest accuracies were obtained 474 during the dry seasons with the three products performing 475 similar to the fusion output, except S1, which suffers from high 476 speckle for Korchar Haor in the March 2018 water-classified 477 map. 478

# D. Comparison of the Fusion Approach With a Comparable Method

Comparison of results obtained from the fusion approach 481 was made against a recently published and comparable algo-482 rithm recent literature. This method is called active-passive 483 surface water classification (APWC) [27] and was imple-484 mented over the three Haors in GEE to obtain the water extent 485 for comparison with the fusion-derived estimates. The APWC 486 method was chosen specifically because it uses the combi-487 nation of active (Sentinel-1 SAR) and passive (Landsat 7/8) 488 sensors and is one of the first studies to generate accurate 489 monthly water body maps at 10-m resolution, in this case in 490 Ethiopia. However, the assessment of the technique for more 491 humid, monsoonal environments such as those found in South 492 Asia has not yet been performed. The APWC method uses 493 K-means cluster analysis to obtain the water mask which can 494 be implemented on the GEE platform. 495

This makes comparison more convenient with the fusion 496 approach in this study coded in the same GEE environment. 497 For performing the K-means cluster analysis, five clusters 498 were used (K = 5) and the cluster corresponding to water 499 was selected based on the PlanetScope-derived water map. The 500 results for classified water extent from APWC for each site are 501 shown in Fig. 5, while Table V shows the comparison of the 502 respective areas with those derived from fusion approach and 503 PlanetScope's reference imagery. 504

As our comparison suggests, for small water bodies in 505 Bangladesh for which APWC has not yet been tested, 506 the method tends to underestimate the inundation extent while 507 detecting more classes within the water mask. Furthermore, 508 decreasing the number of clusters from five (not shown here) 509 resulted in a greater number of false positives. This result 510 suggests that our proposed fusion algorithm based on decision 511 rules and synergistic use of active and passive remote sensing 512 data is appropriately tailored for water body delineation in 513 South Asian environments. 514

### V. DISCUSSION AND CONCLUSION

This article proposes a fusion technique for water area 516 classification tailored for the humid climate of South Asia, 517 where persistent cloud cover, vegetation, and mountainous 518 topography present challenges. The technique takes advantage 519 of complementary strengths of different remote sensing data 520 and produces the most optimal water mask possible with the 521 available data and higher observational frequency. Remote 522 sensing images from L8, S1, and S2 were processed inde-523 pendently to extract surface water extent over three different 524

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Fig. 4. Water inundation maps derived from the individual satellite products and the fusion approach for the three sites during representative months of wet, dry, and intermediate seasons, compared with the PlanetScope reference water inundation. The water areas and the corresponding dates of acquisition in brackets are specified below each map.



Fig. 5. APWC-derived water mask (black) using K-means clustering for the three Haors. Other colors denote the remaining four classes resulting from the APWC K-means cluster analysis (K = 5). (a) Korchar (October 2018). (b) Dekhar (October 2016). (c) Ashulia (September 2018).

<sup>525</sup> surface water bodies (lakes) with different areas and seasonal
 <sup>526</sup> dynamics. The GEE platform used here also allows for appli <sup>527</sup> cation and assessment of the technique over any other region
 <sup>528</sup> of interest.

The fusion approach yielded temporally consistent time 529 series over the three-year period of analysis. The output was 530 able to fill the major gaps in L8 and S2 time series due 531 to high cloud cover, especially during the monsoon seasons. 532 Moreover, the fusion approach is able to address the limitation 533 of underestimation in the radar-based S1 sensor. The speckle 534 noise was also reduced using the spatially consistent results 535 from L8 and S2 images. The disagreement and misclassifica-536 tion from the individual remote sensing techniques highlight 537 the weaknesses of each technique and the advantage of using 538 a fusion approach over small lakes in a tropical monsoon 539 climate. 540

The fusion technique applied over the South Asian waters 541 was compared with outputs from the already published APWC 542 algorithm [27]. The latter, based on the K-means clustering, 543 resulted in a greater number of missed water pixels and 544 underestimation in surface water extent. The relatively better 545 estimate from the proposed fusion approach is indicative of its 546 547 ability to perform in challenging environments with shallower, smaller, and vegetation-dominated water bodies. While the 548 clustering-based APWC successfully generates accurate water 549 body maps in drier climate of Ethiopia in [27], it may need 550 modification to be suitable for South Asian water bodies. To be 551 fair to APWC, the proposed fusion technique benefits from the 552 well-established DSWE algorithm for L8 and S2, while APWC 553 does not. It should also be noted that the fusion approach 554 is limited by the time difference in the acquisition dates 555 between optical and radar images. The worst-case scenario 556 with the difference of one month might cause discrepancies 557 in the derived area, especially during the wetter seasons with 558 high-cloud cover for optical images. 559

Overall, the proposed fusion scheme is able to produce 560 spatially and temporally robust and more frequent estimate 561 of water area when compared with those obtained from 562 individual sensors. It is important that such a technique, using 563 freely available remote sensing products, be used to improve 564 automated space-based monitoring of water bodies and, hence, 565 inform policy for better management of the Earth's freshwater 566 resources. Future extension of this work should consider the 567 use of polarimetric SAR data as an alternative approach to the 568 SAR data used here [39]. 569

In combination with water surface elevations obtained from 570 in situ gauges, some of which we have installed in the Haors 571 described here as part of a citizen science project, it may be 572 possible to use the satellite-based measurements of inundation 573 extent described here to estimate changes in water volume over 574 time. This measurement, which is critical for understanding 575 regional water balance variations, is also a focal point of 576 the upcoming Surface Water and Ocean Topography (SWOT) 577 satellite mission, scheduled for launch in 2021 [25]. Fusion 578 of SWOT with other sensors, using methods stemming from 579 this study, may result in improved understanding of water 580 resources in monsoonal environments like South Asia. 581

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